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| <b>Form PTO-1449</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTORNEY DOCKET NO.</b><br>10020980-1 | <b>SERIAL NO.</b><br>N/A |
|   | <b>APPLICANT</b><br>Thomas W. Stone      |                          |
|   | <b>FILING DATE</b><br>Herewith           | <b>GROUP</b><br>N/A      |

| REFERENCE DESIGNATION |  | U.S. PATENT DOCUMENTS |          |               |
|-----------------------|--|-----------------------|----------|---------------|
| EXAMINER INITIAL      |  | DOCUMENT NUMBER       | DATE     | NAME          |
| JK                    |  | 4,834,474             | 05/30/89 | George et al. |
| JK                    |  | 5,771,320             | 06/23/98 | Stone         |
| JK                    |  | 6,072,923             | 06/06/00 | Stone         |
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| FOREIGN PATENT DOCUMENT |  |                 |      |      |                       |
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|                         |  | DOCUMENT NUMBER | DATE | NAME | TRANSLATION<br>YES NO |
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| <b>OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)</b> |   |
| JK   | U.S. Patent Application Serial No. 10/700,828 filed 11/4/03. Applicant: Thomas W. Stone. Title: WAVELENGTH SELECTIVE SWITCHING AND/OR ROUTING SYSTEM. |
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| <b>EXAMINER</b>  | <b>DATE CONSIDERED</b>  |
| Joanne Kim   | 7/27/04   |

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)